



2015-2018 STIP 2018-2021 STIP

19071 Phase Details

**Project Information**

Total Current Est. **\$158,391,997.18**  
 Resp. Region 1  
 Status Date **05/15/2020**  
 Project Status **HISTORICAL**  
 Monitor: **ROWACQ**

Project Name **15 Rose Quarter Improvement Project**  
 Description **Planning, project development, and right of way efforts of the Broadway Multimodal facility plan and the NNE Quadrant, which will improve safety, operations and support economic growth. Multi-modal improvements include: ramp-to-ramp (auxiliary) lanes, highway shoulders and covers, new overcrossing, 1.5 southbound ramp relocation, new bike and pedestrian crossing, and improved bike and pedestrian facilities. This investment will reduce congestion and improve operations in the Portland Metro region.**  
 Related Programs **HE2017**

PODI   
 Regulatory Agency **FHWA**  
 Administrator **ODOT**  
 Applicants **ODOT**  
 MPO **Portland Metro MPO**

Budget Authority **OTC**  
 Certified Agency  
 Air Quality Approval Required  AQA Date  
 IGA # **31954, 33988, 005593, 3337**  
 Contract #

Commission Date **07/15/2020**  
 Ready Date **12/15/2022**  
 Target Date  
 Bid Let Date  
 Award Date

Work Class: **CONSTRUCTION**  
 Functional Class: **URBAN PRINCIPAL ARTERIAL-OTHER FWY/EXP**

Comment **2/7/20 Advance \$102M Draft funds to 18.21 // 21.24 Draft STIP: Add \$102M HE2017 // 1/23/19 Redistribution target added to Region 1 // OCT PE OBLIGATION ACTS IN SEPT DUE TO FMS SHUTDOWN Amendment 15-18-1189 approved by FHWA (J.Harris) on 7/11/16, per email // Total project cost estimated at \$450M in 2017 \$**  
 Footnote \* **Current Funding: \$1,626,545 Enhance, \$2.5M FAST ACT, \$16,265,452.18 NHFF, \$5M Metro Bond per IGA 31954, \$2M Redistribution, \$102M HE2017**

Created By **STIP Conversion** Last Updated By **FLOWER SHOBE**  
 Created Date **5/1/2014 4:22:08 PM** Last Updated **5/2/2023 9:55:21 AM**

**Project Locations**

ID	Region	MP Begin	MP End	Street	Route	Highway	City	County
01	1	301.40	303.20		1.5	001 - PACIFIC HIGHWAY	PORTLAND	MULTNOMAH

**Project Phases**

Work Phase	Phase Status	Current STIP Year	Phase Total Estimated Cost	Current STIP Amount	Current Authorization Amount	Original Authorization Amount
PE - Preliminary Engineering	APPROVED TO COMMENCE	2016	\$157,391,997.18	\$162,654,500.00	\$157,391,997.18	\$162,654,500.00
RW - Right of Way	APPROVED TO COMMENCE	2020	\$41,000,000.00	\$58,000,000.00	\$41,000,000.00	\$21,000,000.00
<b>Totals</b>			<b>\$198,391,997.18</b>	<b>\$59,626,545.00</b>	<b>\$198,391,997.18</b>	<b>\$22,626,545.00</b>

**Phase Details**

Work Phase: **PE** Phase Status: **APPROVED TO COMMENCE** Status Date: **6/4/2015**  
 Phase Total Estimated Cost: **\$157,391,997.18** Priority: **16A** EA: **PE002591**  
 Current Authorization Amount: **\$157,391,997.18** Current Authorization Date: **2/14/2023** Federal Aid ID: **5001483**  
 Original Authorization Amount: **\$162,654,500.00** Original Authorization Date: **4/21/2015**  
 Current STIP Amount: **\$162,654,500.00** Current STIP Year: **2016**  
 Initial STIP Amount: **\$162,654,500.00** Initial STIP Year: **2016**

**Slip Reasons**

Slip Reason	Slip Date

**Work Types**

Work Type ID	Opt Code	Work Type Percent	Work Type Amount
MODERN	S	97.46%	\$153,391,997.18
MODERN	L	2.54%	\$4,000,000.00
<b>Totals</b>		<b>100.00%</b>	<b>\$157,391,997.18</b>

**Financial Plan**

Funding Responsibility	STIP Name	STIP Year	Total Est/Act Amount
ENHANCE MATCH SW	2015-2018 STIP	2016	\$126,545.20
ENHANCE OTC PR	2015-2018 STIP	2016	\$1,499,999.00
REGION L	2015-2018 STIP	2016	\$2,500,000.00
SW NATL HWY FREIGHT	2016-2021 STIP	2016	\$16,265,452.18
LOCAL	2016-2021 STIP	2016	\$4,000,000.00
FAST REGION L	2016-2021 STIP	2019	\$2,000,000.00
FAST STATEWIDE	2016-2021 STIP	2019	\$1,000,000.00
HE2017 Discretionary	2016-2018 STIP	2016	\$0.00
HE2017 Discretionary	2016-2021 STIP	2020	\$44,000,000.00
HE2017 Discretionary	2021-2024 STIP	2021	\$14,000,000.00
HE2017 Discretionary	2021-2024 STIP	2022	\$32,000,000.00
HE2017 Discretionary	2021-2024 STIP	2023	\$40,000,000.00
<b>Totals</b>			<b>\$157,391,997.18</b>

**Fund Codes**

Fund Code	Description	Percent	Total Amount
M62	NATL HWY PERF EXEMPT	1.61%	\$2,527,088.84
M22	NHFF-EXEMPT	1.02%	\$1,598,736.16
DT00	OTHER THAN STATE OR	2.54%	\$4,000,000.00
AS02	ADVANCE CONSTRUCT PR	57.16%	\$90,000,000.00
S210	STATE	0.64%	\$1,000,000.00
Z001	NATIONAL HIGHWAY PERF FAST	1.27%	\$2,000,000.00
Z460	NATIONAL HWY FREIGHT PROGRAM	10.33%	\$16,265,452.18
Y001	National Highway Perf IJA	25.41%	\$40,000,000.00
<b>Totals</b>		<b>100.00%</b>	<b>\$157,391,997.18</b>

**Reference Documents**

Document Name	Link URL	Updated

**Related Project Key Numbers**

Projects Related by Amendment

**Amendments**

Status Date	Amendment Num.	Amendment Status	Project Change	Change Reason
01/12/2023	21-24-2706	DENIED	SINGLE PROJECT ADJUSTMENT	Add project to the current STIP
07/09/2020	18-21-3893	DENIED	SINGLE PROJECT ADJUSTMENT	Increase the Preliminary Engineering phase estimate by \$1,000,000, moving scope and funds from project key 21219. Update project description.
05/15/2020	18-21-3617	APPROVED	DRAFT TO CURRENT	Add the project to the 2018-2021 STIP. Increase the Preliminary Engineering phase estimate by \$44,000,000, moving funding from the 2021-2024 STIP. Add a Right of Way phase, moving funding from the 2021-2024 STIP for delivery in federal fiscal year 2020.
11/14/2018	18-21-1801	APPROVED	SINGLE PROJECT ADJUSTMENT	Increase the Preliminary Engineering phase estimate by \$2,000,000.
05/31/2018	18-21-5872	APPROVED	SINGLE PROJECT ADJUSTMENT	Increase the design phase to \$25,391,997 from Metro's Regional Flexible Funds bonded through Title.
01/18/2016	18-21-5615	APPROVED	SINGLE PROJECT ADJUSTMENT	Change the project name, description and mileposts. Increase PE to 20,391,997 by adding \$16,265,452 Freight funds approved by the OTC on 11/8/17.
07/14/2016	15-18-1188	APPROVED	SINGLE PROJECT ADJUSTMENT	Change the project name, description and increase PE to \$4,126,545 by adding award of \$2.5M FAST Act funds awarded by OTC.

Back to List

### Application for Federal Assistance SF-424

**\* 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

**\* 2. Type of Application:**

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

Completed by Grants.gov upon submission.

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\* a. Legal Name:

Oregon Department of Transportation

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

93-1111585

\* c. UEI:

XKMMGCKGMQC8

**d. Address:**

\* Street1:

355 Capitol Street NE

Street2:

\* City:

Salem

County/Parish:

\* State:

Oregon

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

97301-3871

**e. Organizational Unit:**

Department Name:

Division Name:

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

\* First Name:

Amy

Middle Name:

\* Last Name:

Williams

Suffix:

Title:

Organizational Affiliation:

\* Telephone Number:

503-457-3335

Fax Number:

\* Email:

amy.k.williams@odot.oregon.gov

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

State

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Department of Transportation, Federal Highway Administration

**11. Catalog of Federal Domestic Assistance Number:**

CFDA Title:

**\* 12. Funding Opportunity Number:**

DOT-RCP-FY23-01

\* Title:

Neighborhood Access and Equity Program Capital Construction Grant Application for the I-5 Rose Quarter Improvement Project

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

I-5 Rose Quarter Improvement Project

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant **OR-006**

\* b. Program/Project **OR-003**

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date: **01/15/2024**

\* b. End Date: **12/15/2033**

**18. Estimated Funding (\$):**

* a. Federal	<b>850,000,000</b>
* b. Applicant	<b>158,000,000</b>
* c. State	<b>0</b>
* d. Local	<b>0</b>
* e. Other	<b>692,000,000</b>
* f. Program Income	<b>0</b>
* g. TOTAL	<b>1,700,000,000</b>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on .
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

**\*\* I AGREE**

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name: **Amy**  
Middle Name:   
\* Last Name: **Williams**  
Suffix:

\* Title: **Business Manager**

\* Telephone Number: **503-457-3335** Fax Number:

\* Email: **amy.k.williams@odot.oregon.gov**

\* Signature of Authorized Representative:  Completed by Grants.gov upon submission.

\* Date Signed:  Completed by Grants.gov upon submission.

**BUDGET INFORMATION - Construction Programs**

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$ 73,400,000.00	\$	\$ 73,400,000.00
2. Land, structures, rights-of-way, appraisals, etc.	\$ 85,600,000.00	\$	\$ 85,600,000.00
3. Relocation expenses and payments	\$ 0.00	\$	\$ 0.00
4. Architectural and engineering fees	\$ 100,300,000.00	\$	\$ 100,300,000.00
5. Other architectural and engineering fees	\$ 0.00	\$	\$ 0.00
6. Project inspection fees	\$ 97,800,000.00	\$	\$ 97,800,000.00
7. Site work	\$ 183,500,000.00	\$	\$ 183,500,000.00
8. Demolition and removal	\$ 9,800,000.00	\$	\$ 9,800,000.00
9. Construction	\$ 801,300,000.00	\$	\$ 801,300,000.00
10. Equipment	\$ 36,700,000.00	\$	\$ 36,700,000.00
11. Miscellaneous	\$ 8,600,000.00	\$	\$ 8,600,000.00
12. SUBTOTAL (sum of lines 1-11)	\$ 1,397,000,000.00	\$	\$ 1,397,000,000.00
13. Contingencies	\$ 303,000,000.00	\$	\$ 303,000,000.00
14. SUBTOTAL	\$ 1,700,000,000.00	\$	\$ 1,700,000,000.00
15. Project (program) income	\$ 0.00	\$	\$ 0.00
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ 1,700,000,000.00	\$	\$ 1,700,000,000.00
<b>FEDERAL FUNDING</b>			
17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter eligible costs from line 16c Multiply X 50 % Enter the resulting Federal share.			\$ 850,000,000.00

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant:, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  <small>Amy WILLIAMS (Sep 13, 2023 11:11 PDT)</small>	TITLE Business Operations Manager
APPLICANT ORGANIZATION Oregon Department of Transportation	DATE SUBMITTED Sept. 27, 2023